



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: R. Lawrence Ives  
Serial No.: 10/038,016  
Filed: January 3, 2002  
For: Depressed Collector for Electron Beams  
Group Art Unit: 2817  
Examiner:  
Attorney's Docket No.: 3522

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

To: Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20031

Dear Sir:

This is in response to the Notice to File Corrected Application Papers mailed February 5, 2002. The applicant hereby submits the following items as requested:

1. A new copy of the application formatted with the claims commencing on a separate sheet as required by 37 CFR 1.75(h);
2. Substitute drawings in compliance with 37 CFR 1.84.

**REMARKS**

This application was filed January 3, 2002. In response to the Notice to File corrected Application Papers, a substitute application is hereby submitted. The substitute application is identical to the original application except that it has been reformatted so that the claims commence on a separate sheet as requested.

The application, filed January 3, 2002, was submitted with three drawings, Figures 1, 2 and 3. Pursuant to the Notice to File Corrected Application Papers, substitute drawings are hereby submitted. These drawings contain no new information and are identical to the original drawings except that they have been cleaned up and submitted in a format in compliance with 37 CFR 1.84.



Applicant submits that the application has been corrected pursuant to the formalities letter mailed February 5, 2002.

Examination on the merits is requested.

Respectfully submitted,

James H. Fritz

Registration No. 28,077

SIMS & COZAD

950 South Coast Drive, Suite 200

Costa Mesa, CA 92626

(714) 428-0321

Attorney for Applicant



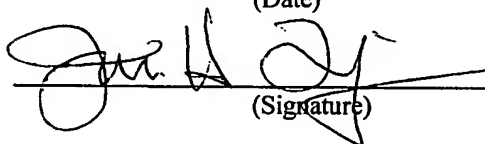
Certificate of Mailing Under 37 CFR 1.8 or 37 CFR 1.10

☐ I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

☒ I hereby certify that this document/response is being deposited with the United States Postal Service "Express Mail" service, Express Mail No. ET 588715745, postage prepaid under 37 CFR 1.10 on the date indicated below and as addressed to:

Honorable Commissioner for Patents and Trademarks  
United States Patent Office  
Washington, D.C. 20231

On April 5, 2002  
(Date)

  
(Signature)

James H. Fritz, Registration No. 28.077  
Typed or Printed Name of Person Signing Certificate



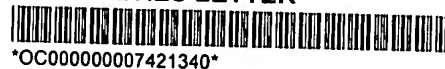
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/038,016	01/03/2002	R. Lawrence Ives	3522

CONFIRMATION NO. 6609

## FORMALITIES LETTER



\*OC000000007421340\*

James H. Fritz  
Sims & Cozad  
950 South Coast Drive, Suite 200  
Costa Mesa, CA 92626

Date Mailed: 02/05/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

**Filing Date Granted**

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



WAYNE R. SIMS\*  
RONALD J. COZAD  
RALPH F. POPELAR, JR.  
MICHAEL E. MURPHY  
SELIM MOUNEDJI

\*A Professional Corporation

**SIMS & COZAD**

950 SOUTH COAST DRIVE, SUITE 200  
COSTA MESA, CALIFORNIA 92626

TELEPHONE (714) 428-0321  
FACSIMILE (714) 428-0345

RICHARD W. HARRINGTON  
BROCK B. CHRISTENSEN

OF COUNSEL:  
JAMES H. FRITZ  
JEROME D. RYBARCZYK

April 5, 2002

**VIA EXPRESS MAIL LABEL NO. ET 588715745**  
Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Re: U.S. Utility Patent Application - Serial No. 10/038,016 filed January 3, 2002 for  
Depressed Collector for Electron Beams

Dear Sir:

Enclosed herewith, please find the following:

1. Response;
2. A substitute application with claims commencing on a separate sheet;
3. Substitute drawings in compliance with 37 CFR 1.84;
4. A copy of the "Notice to File Corrected Application Papers;" and
5. A current acknowledgment postcard.

Thank you for your assistance in this matter.

Very truly yours,

SIMS & COZAD

James H. Fritz

Enclosure